

MARK "X" TO ANSWER THE FOLLOWING QUESTIONS

When responding to any question asking if an event occurred in any jurisdiction, include any event that occurred in any country, state, county, city, town, village, township, municipality, or tribal government.

The term **"Convicted"** includes having been found guilty by verdict of a judge or jury, having entered a plea of guilty, *nolo contendere* or no contest, or having had probation, a suspended sentence, or a fine imposed.

If you answer YES to questions 2, 3, or 4, then you must:

- a. provide a statement explaining the circumstances of each incident,
- b. attach a copy of the charging document,
- c. attach a copy of the official document that demonstrates the final resolution of the charges and any final judgment.

YES NO

1. Have you ever held, applied for, or been denied an auction clerk license in North Dakota or any other jurisdiction? If yes, attach explanation.		
2. Have you or any employee(s) who is/are to act as a clerk under your license been convicted of a felony, had a felony conviction judgment withheld or deferred, or are you or your employee(s) currently charged with having committed a felony? <i>You may exclude juvenile adjudications (offenses where you were adjudicated delinquent in a juvenile court).</i>		
3. Have you or any employee(s) who is/are to act as a clerk under your license been convicted of a misdemeanor, had a misdemeanor conviction judgment withheld or deferred, or are you or your employee(s) currently charged with having committed a misdemeanor? <i>You may exclude traffic citations and juvenile adjudications (offenses where you were adjudicated delinquent in a juvenile court).</i>		
4. Have you or any employee(s) who is/are to act as a clerk under your license been convicted of a military offense, had a military offense judgment withheld or deferred, or are you currently charged with having committed a military offense?		
5. Have you ever been bonded in this or any other jurisdiction?		
6. If you answered yes to question 5, has there ever been a loss paid in this or any other jurisdiction on any bond in which you are or were the principal, or is any claim for loss pending? If yes, attach explanation.		
7. Have you ever had a professional or occupational registration or license suspended or revoked in this or any other jurisdiction? If yes, attach explanation.		
8. Are you currently registered or licensed in any other occupation or profession in this or any other jurisdiction? If yes, please complete the information below.		

License/Registration Type	License/Registration Number	Issued Date	Expiration Date	Issuing Authority

By signing this renewal, I acknowledge:

- a. to my knowledge, the facts stated are true and correct,
- b. the Commission is authorized to investigate my credit background, in conjunction with this renewal, and
- c. the persons or entities referenced are authorized to disclose information regarding this renewal and attachments to the Public Service Commission.

Signature of Applicant	Date
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State of	County of	
Signed and sworn to (or Affirmed) before me this day of		
Name(s) of Individual(s) Making Statement		
Signature of Notary Public or Other Authorized Officer		
Commission Expiration Date		

Submit to: Public Service Commission
Compliance Division
600 E. Boulevard Ave. Dept 408
Bismarck, ND 58505-0480

Telephone: 701-328-2400
Fax: 701-328-2410
Email Address: pscauctionlicense@nd.gov
Website: www.psc.nd.gov

Reference Only - Do Not Submit