



**MARK "X" TO ANSWER THE FOLLOWING QUESTIONS**

The term "**Convicted**" includes having been found guilty by verdict of a judge or jury, having entered a plea of guilty, *nolo contendere* or no contest, or having had probation, a suspended sentence, or a fine imposed.

**If you answer YES to question 1, then you must:**

- a. provide a statement explaining the circumstances of each incident,
- b. attach a copy of the charging document,
- c. attach a copy of the official document that demonstrates the final resolution of the charges and any final judgment.

**YES      NO**

1. Have you, or has any person employed by the bank who is to act as an auction clerk, ever been convicted of or currently charged with forgery, embezzlement, obtaining money under false pretenses, bribery, larceny, conversion, issuing bad checks, extortion, criminal conspiracy to defraud, or other like offense?

2. Is the auction clerk's escrow account maintained at licensee's North Dakota federally insured bank or depository? If no, provide name and address where account is maintained below.

Name of ND Federally Insured Bank or Depository for Trust Account		Telephone Number	
Address	City	State	ZIP Code
Email Address			

**By signing this renewal, I acknowledge that to my knowledge, the facts stated are true and correct.**

Signature of Applicant	Date
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State of	County of	
Signed and sworn to (or Affirmed) before me this day of		Affix Notary Stamp
Name(s) of Individual(s) Making Statement		
Signature of Notary Public or Other Authorized Officer		
Commission Expiration Date		

Submit to: Public Service Commission  
 Compliance Division  
 600 E. Boulevard Ave. Dept 408  
 Bismarck, ND 58505-0480

Telephone: 701-328-2400  
 Fax: 701-328-2410  
 Email Address: pscauctionlicense@nd.gov  
 Website: www.psc.nd.gov

Reference Only - Do Not Submit